

# En-/Dis-abling Dialogism and Irish Nationalism in “Cyclops”

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## Abstract

“Cyclops” is perhaps the most overtly political chapter in James Joyce’s *Ulysses*. It is not surprising that critics have not failed to overstate the significance of the satire and critiques of the Irish literary revival movement Joyce makes in this chapter. While most critical attention is paid to Joyce’s disavowal of a parochial perimeter of Irish Nationalism on an ideological level, this paper will attempt to identify and locate Joyce’s politicization in the chapter’s structural dialogized form.

I will argue that the 33 interpolating sections in the chapter composed of pastiches—of epic discourse, gothic romance, legalese, journalese, and dramatization—parody and travesty the high models and discourses embodied in national myth-making. These mimicry discourses embody and yet parody a fundamental monological desire for the originary plenitude and scientific certainty valorized by the popular movement of rediscovering Irishness in the time of the novel. These various generic expressions inserted in the narrative provide great loopholes from which co-existence of socio-ideological contradictions between the present and the past, between different socio-ideological groups and circles in the present, can be configured and glimpsed.

My concern will be to show when the text crosses generic boundaries—from the first-person narrative to pastiche interpolations and vice versa—how such ensuing textual hybridity creates dialectological elasticity which spells out an “other-languagedness,” challenging and deforming the linguistic coordinates of the nation-building myth. Thus, instead of the epic-like, monochronic, and hierarchical perimeter of Irish national myth, this chapter, after all, enacts a realm of the novel in the most invigorating dialogical sense as defined and celebrated by Mikhail Bakhtin. Joyce’s act of politicizing may then be identified in his conjuring openended, indecisive, and indeterminate textual loopholes in this chapter heralding the entrance of heteroglossia, permanently destabilizing the official and authoritative mono-discourse of the nationalist kind.

## 摘要

本論文旨在分析《尤利西斯》第十二章〈獨眼巨人〉中為數多達三十三則的插入敘述。其多種仿擬文體——包括史詩、中古騎士風、法律文件、新聞體及戲劇體——穿插出入於主要的第一人稱敘述當中，製造文本的漏洞。從中各種互為齟齬的立場、意識型態、團體及時間觀等得以現身，因此成功地顛覆本章男性角色一致推

崇，但實為獨斷、排外、沙文、自戀的愛爾蘭民族主義的立場。本論文特別突顯喬伊斯小說真正「政治性」所在便在於其擅用文體、風格、語言的彈性韌度，製造出多音複調的喧嘩效果，而開啟真正有力「對話」的可能性。

"Cyclops" is perhaps the most overtly political chapter in James Joyce's *Ulysses*. Divergent from the hallmark interior monologue, or stream of consciousness, of and for which the major part of the novel is constituted and celebrated, the episode of "Cyclops" is free from the heavily internalized narrative orientation--especially toward the central character of Leopold Bloom--and therefore easily lays bare its politico-ideological leanings. Indeed, critics have not failed to overstate the significance of the satire and critiques Joyce makes through Bloom's confrontation with the Citizen in this episode of Irish Nationalism and Irish Literary Revival movements, as troped (in its parodistic Homeric parallel to Odysseus's encounter with the one-eyed giants Cyclops) and more than clearly stated in this chapter. However, while extensive critical attention is paid to Joyce's disavowal of a parochial perimeter of Irish Nationalism on an ideological level (see Davison, Deane, Ellmann, Hodgart, Kenner, Kiberd), a most en-/dis-abling aspect of Joyce's "politicization" remains to be testified in/by the chapter's linguistic feature--i.e. its structural dialogized form.

Chapter 12 of *Ulysses* is prominently structured on the sudden shift from the preceding major characters' (i.e. Stephen's and Bloom's) interior monologues to an anonymous I-narrator's narration and a total of 33 interpolating sections clearly at stylistic odds with, or even completely intrusive in, the first-person narration.

What strings the first-person narration and the interpolations together is ironically their distinct generic differences. That is to say, the text crosses and re-crosses various generic boundaries for more than 30 times in this episode. A "translinguistics" (Kershner 15) will be argued where the enabling significance as well as signification of "Cyclops" inhabit.

Constituent of such "translinguistics" is virtually a dynamics among "a great variety of conflicting [linguistic] variants" (ibid). In the episode of "Cyclops" these refer to the generic as well linguistic varieties amalgamated in the 33 interpolations vis-a-vis the I-narration. Among these interpolations, six distinct generic varieties may be primarily detected: epic discourse, gothic romance, legalese, technical reportage, news journalism, and the dramatic genre. The paper below will trace and expose how the "Cyclops" text's crossing and re-crossing generic/linguistic boundaries will engender textual hybridity and elasticity of "other languages," i.e. heralding the entrance of polyphony and heteroglossia seriously undermining and overthrowing the chapter's giant ideological exponent--Irish Nationalism.

It is not at all unexpected that "Cyclops" interpolates in its primarily prosaic I-narration an abundance of epic or mock-epic discourse, for this directly establishes and testifies in general the novel's as well as in particular the chapter's connection and echoes of Homer's *Odyssey*. The chapter of "Cyclops" specifically echoes in theme and motif Odysseus' encounter with the law-less, barbaric, mountain cave-dwelling, one-eyed giants. However, what is unexpected is the manner of how some--in effect, the major ones--of these epic-like interpolations are inserted in the main text.

Interpolation 2 (12.68-99) heralds the succession of the epic segments in this chapter. The manner of its debut is symptomatic of the problematics inherent in the

border-crossing scenario between the epic interpolations and the major text. This very first epic interpolation is awkwardly and literally sandwiched between the remembered (by the narrator) dialogues voiced by the shrewd debtor vis-a-vis the Jewish vendor who hires the narrator to collect his debt. That is to say, this interpolation is totally intrusive, though a clear aim to pre-say, -sent, and -figure the Citizen's appearance can be identified. Such sharp though clear-intentioned intrusion spells out a textual desire to pre-empt and pre-cede, and thus rush into, the normal flow of narrative, which in this case happens to be the narrator's interior monologue. Hence, conflicting with the first-person narrator's stream of consciousness recalling a dialogue (indirectly addressed to each other between the aforementioned two parties) seems to be the presence of yet another narrator--impersonal and diffused in identity--which pronounces a series of features characteristic of the epic, the nature of which runs in direct opposition to that of the vernacular dialogue which the I-narrator recalls and reproduces in his narration.

Interpolation 2, therefore, is a good place to examine the ideological underpinnings of the epic genre which constitutes and in point of fact dominates a major part of the "Cyclops" chapter's interpolations and the textual desire they reveal. Interpolation 2 exhibits distinct epic style and features: enumerated lists of fish, trees, vegetables, objects of play for the maidens, and epithets for the five provinces of Inisfail (The Island of Destiny) which is the old name and vision of old Ireland. These epic features are further expanded in the following interpolations 3 (12.102-117) and 4 (12.151-205). Interpolation 3 enumerating the livestock on Inisfail is in fact a continuation of interpolation 2; it gives the exhaustive epic list of the herds, inclusive of their action and sounds, found on this island. Interpolation 4 supports the Citizen's physical strength and glorification by piling upon him loads of heavy-sounding and visually imposing epithets--"broadshouldered deepchested stronglimbed frankeyed redhaired freelyfreckled shaggybearded widemouthed largenosed longheaded deepvoiced barekneed brawnyhanded hairylegged ruddyfaced sinewyarmed hero" (12.153-5)--and obviously classifying him among yet another more lengthy and emphatic list of Irish heroes and heroines of antiquity whose images are all graven on the girdle he wears, such list occupying a textual length of 50 lines (12.175-200). Lumped together (not unlike the manner of the above-quoted list of compound descriptions of the Citizen-hero in interpolation 4), the strongly epic-flavored interpolations 2-4 "tower" themselves (to borrow a metaphor from interpolation 2 (12.151)), among the prosaic, mundane, and thus low proceedings of common Dubliners' pub gathering, as reported by the first-person narrator.

Common to the three interpolations is, therefore, a clear insistence on exhausting and enumerating the descriptions of and adulation for the heroic figures and legendary objects and locations. Moreover, interpolation 3 also emphasizes the "superabundance" of the dairy products and meats yielded on the legendary Innisfail; interpolation 4 stresses the impressive magnitude and size of the hero's physical features such as weight, nostrils, eyes, etc. These characteristics all aim at "epic heroization" to which M. Booker diagnoses that epic lists contribute at the ideological level (23). As if echoing the chapter's giant motif, in the beginning three epic interpolations thus surfaces Joyce's deliberate strategy of "verbal gigantism" (Osteen 254)--"excess" and "hyperbole" mark its most imposing characteristics. This form of "linguistic inflation" (Osteen 272) virtually espouses a desire to exhaust and therefore aggrandize the significance of the epic hero and location. According to Tony E.

Jackson, the epic lists abundant in "Cyclops" "seem to want to capture in writing the full quantity and quality of the world" and thus "seem obsessed with getting it all said," for it is the "epic narrative's duty to speak" the "fullness kind by kind and name by name"; underlying the urge to inflate descriptions to an almost absurd length is an irreducible "paranoid desire for totality" which aspires to "a sacred Irish wholeness" (65, 71). In sum, the epic style reveals a tendency toward increasing "encyclopedism" which Michael Groden identifies to be Joyce's direction of writing in *Ulysses* after the chapter of "Cyclops" (126).

The comprehensive embrace of the abundance as well as grandiosity of the heroic figures, objects, and locations will be further strategically stretched to include that of time. This is best evinced in interpolation 17 (12. 896-938) which heroicizes the Irish games and sports. This interpolation comes immediately after the heated debate between the Citizen and Bloom over the appropriateness of Irish sports in connection to the ideology-impregnated project of "building up a nation once again" (12.891). In line with the style of interpolations 2-4, interpolation 17 also emphasizes the "superlative" (12.920) quality of the events and figures involved in its epic depiction, and it ends, too, with a long list of names of the clergy audience which spans 11 lines (12.927-38). Replicating the desire to speak the "fullness" Jackson expounds, this long list is significant in its non-conclusion, for it ends with "etc., etc.," thus aspiring to infinity--the hyperbolic form of plenitude. More important, the setting for the episode of "Cyclops," Kiernan's pub where the mundane debate between Bloom and the Citizen takes place is now heroically and mythically recast as an "ancient hall" (12.896) in this interpolation which, obviously with a purpose, repeats the word "ancient" eight times and weaves in correlatives such as "traditions" (12.911), "immortal" (12.916), and "timehonoured" (12.921). In a similar vein, interpolation 12 (12.525-678) detailing the execution of the national hero Robert Emmet also celebrates the essence of heroic deeds--i.e. the ability to arouse "recollection" in people's mind, hence providing magical escape from the dreadful "present" of the execution scene (12.646, 649). In fact, such desire to extol the past is already pronounced earlier by the Citizen's toast to as well as slogan of elevating "The memory of the dead" (12.519).

Clearly disdaining the present, the absorption in and privileging of time and the past, thus pertaining to the timeless truth authenticated by immemorial time, is yet another strategic emphasis put forth in the epic interpolations. Here Bakhtin's famous elaboration on the epic genre can be illuminating: "The world of the epic is the national heroic past: it is a world of 'beginnings' and 'peak times' in the national history, a world of fathers and founders of families, a world of 'firsts' and 'bests'" (1981, 13). Moreover, Bakhtin emphasizes that concepts like "beginnings," "first," "founder," "ancestor," and "that which occurred earlier" "are not merely temporal categories but valorized temporal categories" in the epic world view (ibid15). Thus, in establishing the importance of "ancientness" and "superlative" of the Irish games, interpolation 17 reveals a clear desire to align the "firsts" and the "bests": the superlative of the Irish games and of the Irish race is ideologically validated by their ancientness. Valorization based on temporal priority thus bespeaks a claim to authority endowed by time: Bakhtin pinpoints that the epic past is the "absolute past" and is "both monochronic and valorized (hierarchical)" (ibid15). It is now clear that the epic interpolations of the "Cyclops" chapter establish, transmit, and make prominent an "official air" (ibid 20) by idealizing the past to the extent of assuming a

timeless, universal truth. Thus the episode's strange employment of the present tense throughout the I-narration can be shed some light on. The timeless recurrence implicit in the use of the present tense seems to echo the temporal authority and validity established by the epic interpolations. More important, such official and authoritative thought and stance reproduce those of Irish Nationalism popular at the time of the novel. No wonder the clergy and the procession of Catholic saints are alluded to and dramatized in interpolations 17 and 29 (12.1676-50), suggesting the authoritarian presence of domination in Ireland by the Catholic church.

Joyce thereby reproduces but also parodies, and even burlesques the "gigantic" predominance of the nationalistic ideology by echoing its narcissistic verbal inflation and gigantism to mock-epic (mis-) proportions, because the gigantic series on which the text exploits its aspiration toward repletion and completion embed their own undermining. The list of heroes and heroines of interpolation 4 clearly acts out its own subversion. The long list enumerating about 70 names claiming to be Irish is bogus; only the first 16 heroes are Irish; the rest 57 names are dubious Irish figures or merely hilarious and absurd name-dropping, such as Goliath, the Village Blacksmith, Dante Alighieri, Christopher Columbus, the Last of the Mohicans, Mohammed, Patrick W. Shakespeare, Brian Confucius, Gautama Buddha, etc. who also find their way to being classified as Irish.

Thus these series call attention to themselves only as "sheer excess" which in effect "effaces distinctions between the trivial and the significant, the useful and the ornamental" (Osteen 272, 273). Mark Osteen adds that this "comic excessiveness ... produces a dialogic effect that undermines its own claims to authority" (275). This is because the epic interpolations often function as background asides to--supporting, intruding, trespassing, and ultimately subverting--the I-narration. The asides, according to Osteen, "are enlarged at least in four ways: extension... quantity...importance... and most significantly, value" (273). Thus, the hyperbolic magnification such as enacted in interpolation 2 of Inisfail "offering a Utopian vision of unearned bounty" is clearly "at odds with the actual poverty of the citizens" and ironically reinforces "the widespread Irish belief in their innocence and victimization" (Osteen 259). In fact, gigantic exaggeration of the nationalist rhetoric is endowed with the hidden desire to "overcompensat[e] for cultural domination by England" (Ungar 491), thus ironically transforming itself into an ideologically enabling discourse by exploiting on the disabling Irish situation of colonization. Exemplified respectively by the self-defeating list of Irish heroes in interpolation 4 and the reinforcement of the stock (and therefore mythic) image of the Irish as victims by drawing and cataloguing the legendary Inisfail's geography, in the manner of the "epic and mock-epic gazetteer" (Seidel 195), and exaggerating the blissful prelapsarian vision the land offers in interpolation 2, the boundary-crossing scenario between the I-narration and the epic asides "foregrounds" and enacts Joyce's characteristic "divided" temperament simultaneously between renunciation and embrace of his Irish identity, exile and home-returning (see Law), and, in this case, de-mythification and re-mythification--debates necessarily derivative of the Irish Nationalistic movement.

Such "asymmetrical schemes of mythic correspondence" (Valente 56) are not isolated to the instance of the text's crossing into the the mock-epic genre; generating a similar effect of asymmetrical mythic correspondence is the second major grouping of gothic romance interpolations. The mock-gothic romance sections dominate just as much as the epic interpolations in length and volume. They most often occur in

introducing appearance and departure of the characters (except the Citizen who is exclusively reserved for the epic parodistic portrayal) at Kiernan's pub. Hence, unlike most of the intrusive epic interpolations, the gothic romance sections play more of a practical function of bridging the main actions. Bloom, Alf Bergan, Denis Breen, Ned Lambert, J. J. O'Molloy, etc. are thus introduced and recorded their departure, all being portrayed chivalrous knight- and medieval prince-like.

This section of gothic romance parody is composed of high-blown language, inflating the self-image of the characters as in the epic parodies, thus likewise lending weight to the politico-ideological content most of the pub-goers in this chapter voice. Given such self-importance and -magnification ideologically dictated and enabled by Irish Nationalism the characters endorse in this chapter, when incongruity occurs between the romance parody and the ensuing prosaic narration, the contrast becomes disablingly sarcastic and virtually subversive. The best example is the hilarious contrast between the manner Denis Breen is introduced in the mock gothic romance section: "an elder of noble gait and countenance, bearing the sacred scrolls of law" (12.246-7) and his actual prosaic appearance: "that bloody old pantaloon Denis Breen in his bathslippers with two bloody big books tucked under his oxters" (12.253-4). To employ the predominant visual metaphor related to the cyclopean theme in this chapter, the two juxtaposed opposite narratives do not seem to "see" each other. Indeed, the immediate retracting of the I-narration from the self-aggrandizing portrait of the character in the mock-gothic romance section only foregrounds the "rhetorical force of language" which "surpasses its power of signification," thus "it can always be used to mystify, to create the illusion of a positive referent where there is none" (McGee 208). Befitting and necessitated by the nationalistic discourse, the power of mystification comes from the weight and significance which the linguistic pyrotechnics and conventions of the mock-gothic romance portrait perform conjures.

The ideological mystification, however, is conjured not restrictively to evoke and support Irish Nationalism, but also to infuse, ironically but virtually validating, its ideological opponent--British imperialism. While ennobling the ale brewers as well as chivalrizing the bartender, interpolation 7 (12.280-99) goes on, following the mock-gothic romance mode, to blow up the worth of the one penny Alf pays for the drinks, ironically ended up with the insertion of an imperialist propaganda about the noble worth of Queen Victoria under whose authority the coin is issued. Likewise, interpolation 23 (12.1210-5) begins Lenehan's toast with (disproportionately) romanticizing the commercial beer label by making it an Irish Nationalistic slogan but ironically ends with voicing an imperialist propaganda which elevates the English race, though Lenehan's intention is to drink to "the undoing of his [English] foes" (12.1212). These two examples expose "that dangerous supplement" (to borrow the title of Jacques Derrida's famous article expounding the self-blinding, -defeating, and -deconstructing derivative "performance" Western logocentrism necessarily enacts) the gothic romance mode--like epic, though a seemingly competent ideological tool and program with which to sound and advocate the characters' politically engineered "sentimental, histrionic oratory" (Osteen 260)--unwittingly engenders.

This shows that the power of mythification invariably co-exists with a contrary but just as valid force of de-mythification, for the gothic romance genre is undercut and intersected by a heterogeneous, i.e. novelistic, prose genre of the I-narration, drastically opposed to the epic and the romance in its verbal consciousness and comprehension of polyvocality. It is at the "scene" (note the stress on the

actionary and performative aspect of it) of crossing the linguistic/generic border where the co-existence of mutually conflicting discourses almost illogically takes place. Bakhtin makes a lucid connection between generic hybridity and linguistic elasticity: "Distinctions between genres frequently coincide with dialectological distinctions" (1981, 294). The novel form not only preserves but also plays out all the flexible interrelations of the different languages voiced from different socio-ideological quarters as well as by different (and often contradictory) socio-ideological agents and groups (ibid 291). The irreconcilable co-presence as well as co-implication of Irish Nationalism and British imperialism, as demonstrated above, forcibly dismantle the ideologically upheld self-sufficient and -contained quasi-mythic vision of the Irish integrity and superiority as propagandized in the nationalistic movement which the pub-going characters (except Bloom) unreflectively repeat and rehearse. Thus, significantly, the "literary and language consciousness," the kind as brought about by the co-existence of heteroglossia ensuing from the gothic romance parodies, "relativize and decenter" "a unitary, canonic language, of a national myth" (ibid 370).

The third and fourth linguistic/generic variations and components of the interpolations--legalese and technical reportage--share common features aspiring to goals comparable to the attitudes adopted by the text in shifting to the epic and romance modes, which are just as instrumental to the nation-building myth the latter champion. "Cyclops" in fact opens with clear allusions to legalities: first the narrator's threat to file charge against the chimney sweeper whose broom and ladder almost miss the narrator; then the narrator's explaining to Hynes about the "bad and doubtful debts" he is trying to collect from Geraghty (12.24-5); then the transaction dispute between Geraghty and Herzog. Therefore, it may not be a small matter that "Cyclops" begins the very first interpolation with a legal document such as a bill of sale contracted between two parties. Its definite and precise phrasing of the quantity and worth of the goods parodies the "extreme formalization and its obsession with exact definition" characteristic of legalese; Jackson points out that this linguistic mode expresses a clear intent "to tie rigidly each signifier to a signified, to eliminate the play of signification," so much so that it is less a "legal language" than a "legal code" (70).

Such code-like precision can also be found in the next category of technical reportage parodies in this chapter. The two most prominent examples are interpolation 8 (12.338-73) parodying a spiritualist seance, according to Don Gifford, whose "'scientific' exactitude of some of the phrases... lampoons the style of reports published by the Society for Psychical Research in London" (329) and interpolation 11 (12.468-78) which "Parodies a medical journal's report of a medical society meeting" (Gifford 332). Characteristic of this generic parody is an emphasis on pseudo-scientific impersonality, technical jargons, and erudition in its linguistic feature. Hence, an abundance of medical, botanic, and legal Latin is inserted. The literal verbal "weight" (another form of verbal gigantism) due to the presence of technical Latin and the scientific precision the modes of technical reportage as well legalese command also functionally supports the ideologically-weighted program of Irish Nationalism, for "these discourses work to authorize the speaking subjectivity of the Irish male by making his mundane actions and his barroom conversation worthy of Science and the New, worthy, then, of the discourses of information, with all the weight that word carries in the modern era" strategically engendering "the closed safety of the discourse of 'fact'" (Jackson 70).

Tony E. Jackson's psychoanalytic study of the discursive varieties and movements in "Cyclops" illuminates the underlying "desire" that is flowing and circulating among the political ideology-championing male characters in this chapter. He connects the aspirations expressed in the epic, legal, and technical interpolations with the "primary Imaginary underwriting" the characters', especially the Citizen's, "male self-image" (67). News journalism is another generic variety reflecting "the Imaginary Irish male by combining a content based on a modern discourse of certainty, i.e. reported information, with a style that is a modernized rhetoric of the hero" (ibid 70). Joyce is keen on travestyng the "news-speak" mode saturating the Dublin society in his time; the preceding chapter 7 of "Aeolus" reproducing and yet parodying journalese is a best example. R. B. Kershner notes that "A major theme of Joyce's work is the confrontation of the literary and the journalistic" (7). This chapter also rehearses Joyce's keen concern with the variance between these two linguistic modes. Interpolation 24 (12.1266-95) cataloguing the absurd superabundance of trees in echo to the reforestation project the Citizen and his gang advocate is actually a parody of newspaper accounts of important social events, in this case, a "high-fashioned wedding" (Gifford 352)--such style of burlesque will in fact be repeated many times throughout this chapter. Though the cataloging of the wedding guests is comically and absurdly excessive, due to the "excess" of tree references in their names, the significance of the ideology-infused concept of forestation is nonetheless rendered matter of fact, adding seriousness and magnitude to the event of the wedding itself. Another "excessive" but tirelessly recorded and catalogued, as if aiming at scientific exactitude, news-like report is the church procession interpolation 29 (12.1676-1750).

Ironically both of these two journalistic interpolations repeat the same underlying desire for repletion and completion as commanded by the lists in the epic genre, distinct styles as they are. Thus, Jackson rightly observes that "the newspaper reports reflect an Imaginary self that is both epic and scientific" (70), enabling the seemingly incongruous elements of epic inflation and scientific precision to co-exist. Together with the epic, journalese in this chapter aspires to the authority and validity clearly lacking in the prosaic I-narration which cool-headedly lampoons the absurd pomposity of the characters in the pub, such as right after interpolation 24, the narrator de-heroicizes the Citizen's drunken "tall talk" (12.1313), boasting to fill Irish harbor again as "All wind and piss like a tanyard cat" (12.1311). (Cf. A similar de-heroicization takes place earlier in the I-narrator's making fun of the Citizen's pompous talk of "the new Ireland" and suggests that for a new Ireland he should go and get a new dog (12.485)) The image of puffy inflation in this description is symptomatically shared by the epic and the scientific. However, the prosaic I-narration reminds the reader of the airy facade which both modes put on and invite problematization.

The by-nature monovocal, authoritative, patriarchal, official, nationalistic language, as chorused by the characters in the pub, headed by the Citizen, and laid bare by the fundamental textual desire manifested by the interpolations of epic, romance, legalese, technical writings, and journalese will meet further problematization begged by other voice-zones, this time being challenged by the final generic group of the dramatic interpolations. The I-narration contains a great deal of the characters' conversational exchanges as well as the narrator's highly vernacular narration and reflection. To echo the nationalistic content of this chapter, the characters, including the narrator himself, blend an impressive amount of Celtism in



their speeches and conversation. Emer Nolan notes that "Hiberno-English expressions and idioms" and "Anglicized Gaelic words" are remarkably profuse in this chapter (110). Gaelic-speaking exponent in this chapter is of course the Citizen, whose dog, as befitting their--man and dog together--ideological belief, is even further fantasized but clearly mocked to be capable of reciting old Irish verse. Interpolation 13 (12.713-47) is significant in that it crosses into the dramatic genre distinct from the narrative mode. The first part of the interpolation is in line with the mock-epic mode often contained in journalistic reports at that time, elevating Garryowen to the status of the old Irish king Owen Garry (12.717), but the second part reports and records the English translation of Garryowen's original ancient Celtic verse (12.740-47). The poem dramatizes a hyperbolic stance of the dog speaking in the first person "slowly and indistinctly in a tone suggestive of suppressed rancours" (12.738-9). This interpolation is absurdly funny and hyperbolic, deliberately highlighting the extravagance in the revival of the Irish tradition and versification. The recording of the canine poem and the reporting of its being translated into English contain a remarkable dramatic element which acts out right before the reader's eyes the farcical, absurd, and carnivalesque scenario directly pitting itself against the serious, self-important, high style of the mythic and the epic.

Carnivalsque and hence highly de-hierarchized dramas seriously subvert the statement or conclusion made in the prose mode of the I-narration by the "excess" of their "other" linguistic echoes and cacophony which cannot be harmonized into monovocal nationalistic language. Indeed, Joyce is obviously keen to activate in dramatic form in this chapter the susceptibility of "excess" of one discourse to subvert the other. Interpolation 15 (12.846-9) mocking Bloom's "Mister Knowall" (12.838) air on which the narrator frowns is a clear example. This is a funny and tongue-in-cheek dramatization of the narrator's free association that "he'd [Bloom] have a soft hand under a hen" (12.845). The saucy undertone in the narrator's association of the hen, nickname for mistress, is literally dramatized in this interpolation, as if an aside intended by the impersonal narrator, by the black hen Liz's vociferous joy ("Ga Ga Gara. Klook Klook Klook") of laying eggs and Bloom's stealing her eggs by caressing her and implicitly adding her joy. This onomatopoeic mini-drama not only allows the diffused, impersonal narrator to parody "the style of a child's primer" (Gifford 340), but more important, by simulating the hen's joyous cries, preserves and acts out the "excess" of meaningless sounds and noises (made by the hen and baby talk) over the seemingly monovocal and homogeneous narrative. Thus this interpolation simulates a cacophonous effect, literally producing "other" voices and a literal demonstration of heteroglossia.

The excessive discourse subverting the flow of narrative in "Cyclops" can be best exemplified by the parody of Bloom's preaching of universal love. Interpolation 27 (12.1493-1501) enumerates but also ironically exhausts Bloom's key word--love--and its seriousness comically by making it an absurd tautology: "Love loves to love love" (12.1493) and almost everybody loves everybody else; in the end "this person loves that other person because everybody loves somebody but God loves everybody" (12.1500-1). The hyperbolic portrayal based on the free play of "excess" ultimately defeats Bloom's, though admirable--as opposed to the Citizen's parochial, exclusive Irish stance--altruistic ideal. Joseph Valente explains that this parodistic interlude "multiplies the contextual variations of 'love' until the sign itself seems entirely devalued" (64); Mark Osteen elaborates that "Joyce's texts often ridicule the same

values that they profess" such as the "trite expressions of love" which become "worthless linguistic currency that transfers love into a valueless signifier" (271). Just as the Citizen's pseudo-utopian, -mythic, Irish revivalist discourse is unequivocally pooh-poohed, so Bloom's humanistic ideal can be found equally subjected to skepticism due to this interpolation's "rhetorical force" which now de-mythifies the no less optimistic and utopian vision pronounced by Bloom's messianic stance and strategy.

Consequently, the dramatic interpolations play a ceaselessly dis-(t)ablizing role, problematizing not only the essentially mythological, stereotype-confined, and even chauvinistic Irish nationalistic discourse, but also its antidote, the Bloomian, unIrish (but just as high) ideal of altruism. Clearly, Joyce's approach to examining and activating the entire spectrum of Irish and un-Irish discourses does not rest at a stable or finalized stance as in a successfully resolved dialectics; instead, Bakhtin's conclusion when praising Dostoevsky's novels is just as applicable and true to the episode of "Cyclops": "A plurality of independent and unmerged voices and consciences, a genuine polyphony of fully valid voices... with equal rights and each with its own world, combine but are not merged in the unity of the event" (1984, 6, original emphasis). That is to say, the two viewpoints are "symbiotic," "without ... [Joyce's] doing violence to either one or subordinating them to some absolute perspective" (Valente 63). The clash between the dramatic and the novelistic narrative modes arising in the group of dramatic interpolations likewise strategically "relativize and decenter" the "unitary, canonic language, of a national myth" as already happened in the case of the mock-epic and -romance interpolations.

As opposed to the dominant characters' as well as textual desire to monologue the Irish Nationalism is Bloom's example and attempt to "relativize" and dialogize about this exclusionary project. He candidly observes that in Ireland, abusive force is also imposed on people when the bigot Citizen unreflectively criticizes the corporal punishment practiced on English sailors, complacently assuming distinctness of the Irish from the English inhumanity (12.1361); he realistically disproves of persecution which the Citizen advocates in retaliation of the English crown as perpetuating "national hatred among nations" (12.1418); his definition of a nation--"the same people living in the same place" or "living in different places" (12.1422-3; 1428)--volatilizes the Citizen's xenophobic nationalistic agenda; and responding to the Citizen's racist slur, he ultimately reminds him that "Your God was a Jew. Christ was a Jew like me" (12.1808-9). Bloom's humanistic, utopian, and messianic proposal makes him a clear alternative and symbol of "a kind of living dialogue" (Osteen 278). However, throughout the conversational dialogues, Bloom is often portrayed to be cut short on conducting a successful "dialogue" with the Citizen. For example, trying to explain the scientific basis behind the phenomenon of erection of one of the hanged Invincible, Bloom is obviously suspected by the Citizen to de-contextualize and -historicize those being hanged "for the cause" in Irish history (12.482-3). When Bloom sees that the Citizen fails to grasp his point and is eager to continue giving his scientific speculation, the Citizen cuts him short by pronouncing the nationalistic slogans of "Sinn Fein! [Ourselves]" and "Sinn fein amhain! [Ourselves alone]" and polarizes people into friends and foes, the same and the different camps who, according to the Citizen, "we" the Irish unproblematically love and hate (12.522). It is significant that a possible true dialogue is being silenced at the moment when the dogmatic political propaganda is being sounded. This shows the conflicting thrusts of

ideologies and thoughts are essentially between monologue and dialogue.

Worse still, as shown in the self-defeating parody of Bloom's key concept of universal love, Joyce seems to be skeptical of "a truly successful dialogical politic in a world organized around assumptions which arrest relativity" (Valente 65), one of which is best exemplified by the Citizen's attempt to parochialize and hence absolutize Bloom's humanitarian accusation against injustice done to Jews in some parts of the world by labeling his agenda as building a Zionist "new Jerusalem" (12.1473). Bloom's scapegoating in this chapter powerfully shows that if Irish Nationalistic project is primarily aimed to defy and decolonize the racist injustice done to the Irish by the English, then the Citizen's racism against Jewish Bloom is an ironic testimony to the fact that Irish Nationalism is no less racist itself. The long list of names concocted on racial stereotypes in interpolation 12 parodying "the Friends of the Emerald Isle" (12.556-68) already reveals the ambiguous symbiosis of Irish Nationalism and racism.

Though "Cyclops" illustrates that enabling true dialogues are rare and almost impossible at the ideological level, however, its embedded hybrid stylistic variations--thus breeding necessary contamination defying self-sanctifying purity of especially the ideological sort--promise possible enabling dialogism. Parodying and travestyng the high models and discourses embodied in national myth-making, the mimicry interpolating texts are the heterogeneous "voices of local dominance and local opposition," or "voices inserted at odd angles to the major debates" which destabilize and eventually disable the prevailing nationalistic discourse (Kershner 21). They generate great loopholes from which co-existence of socio-ideological contradictions between the past and the present and between different socio-ideological groups and circles in the present can be configured and even enacted, challenging and deforming the monolingual coordinates of the nation-building myth. As Seamus Deane masterfully illustrates, "In revealing the essentially fictive nature of political imagining, Joyce ... understood it [Irish nationalism] as a potent example of a rhetoric which imagined as true structures that did not and were never to exist outside language" (107). Thus, instead of the epic-like, monochronic, monologic, and hierarchical perimeter of Irish national myth, this chapter enacts a realm of the novel in the most invigorating linguistically dialogical sense as defined and celebrated by Bakhtin. Joyce's act of politicization should then be identified in his conjuring open-ended, indecisive, and indeterminate textual loopholes in this chapter, heralding the entrance of heteroglossia, permanently destabilizing the official and authoritative mono-discourse of the nationalistic kind.

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# A Comparative Analysis of Computer-mediated Communication (CMC) Versus Non-CMC Texts Along the Dimension of Abstract vs. Non-Abstract Information

## 電腦網路傳訊(CMC)與非電腦網路傳訊(non-CMC)之文本在抽象程度上之比較分析

蔡素薰

### Abstract

The similarities and differences between written and spoken forms of language have been a focus of interest of many scholars. Recent studies usually establish one or two dimensions along which to measure the difference between spoken and written forms (Ure, 1971; Stubbs, 1986; Halliday, 1989; Ljung, 1991; Smeltzer, 1992; Botta, 1993; Kress, 1994). In addition to the many possible dimensions along which language use may be depicted as being more oral or written, different genres and media also have direct impact on its features. The coming into existence of Computer-mediated Communication (CMC) has made the line of distinction even less obvious. It is technically a writing (key-pressing) behaviour but may be used to carry out spontaneous communication.

This paper is intended to be a pilot study in text analysis to investigate the special linguistic features of CMC versus non-CMC texts. The model of analysis is based on that of Biber (1988, 1989), who, through statistical factor analysis, presents seven dimensions on which texts may be measured as being more spoken or written. Limited by the scope of research, this study focuses only on the features underlying the fifth factor: abstract versus non-abstract information.

Another limitation is on the objects of analysis. The CMC texts used for analysis are limited to the asynchronous mode only, and do not include texts in the synchronous mode. The texts selected for analysis are two Internet archives, Neteach-L and TESL-L. The theme of these texts is on Teaching English as a Second or Foreign Language, and almost all writers are TESL teachers. The texts are first tagged by the University of Birmingham tagging program, then analyzed using the concordancing program CLAN (MacWhinney, 1996a), and finally computed using SPSS statistical program (SPSS, 1993).

The features found in those CMC archives are compared with those in the non-CMC texts in Biber's corpus. Findings from this study are that the two CMC asynchronous text corpora stand somewhat in the middle of the dimension between the more abstract and technical genres of academic prose and the more concrete leisure genres of broadcasts and conversations.

It is hoped that on completion this pilot study will pave the way for a more comprehensive analysis of CMC (both asynchronous and synchronous modes) and non-CMC texts covering all the seven dimensions in Biber's model. It is thus hoped that the findings of this series of studies will provide EFL professionals with an extended understanding of the features of language in the computer age.

### 摘要

本研究係針對電腦網路通訊(CMC)中使用之語文之口說或書寫傾向所作的分析研究。研究之模式係採用Biber (1988, 1989)對口說語文及書寫語文間異同之各種層面所作之因素分析結果。Biber共歸納出七項有效之層面，因本研究係一全面性研究前之預備性研究，本文僅就其中第五項：「抽象與非抽象」作為分析之標準。

本研究分析之語料來自兩個非即時性(asynchronous)討論群

(Neteach-L及TESL-L)的內容，此兩討論群之討論主題均以英語教學為限。本研究在進行時，先將語料原文以電腦加註其詞類標籤，再以CLAN程式就符合各項語文結構之語詞挑出，其結果再由SPSS軟體加以統計。

本研究對CMC語料分析所得之結果經與Biber原作中對non-CMC語料之分析結果比對，發現非即時性網路討論群的語料，在所謂「抽象與非抽象」層面上表現的性質，大致介於non-CMC語料中口說及書寫兩種傾向之間。至於即時性(synchronous)的CMC語料所表現的特性如何，CMC語料在其它各項層面上可能顯示的性質如何，皆有待下一階段之繼續研究。

## 1. Introduction and Literature Review

The similarities and differences between written and spoken forms of language have been a focus of interest of many scholars (Chafe & Danielewicz, 1987; Halliday, 1989; Hasan, 1968; Kress, 1994; Sinclair et al., 1993; Tannen, 1982). In early years dichotomous differences were sought between orality and literacy (Ong, 1982; Finnegan, 1988). Spoken language, uttered by speech organs, was considered spontaneous and fragmented; while written language, produced with a pen or a typewriter, was viewed as prepared and highly organized (Close, 1994).

More recent studies in this field take into account the fact that language uses are in different genres (Bhatia, 1993; Littlefair, 1991). Instantaneous dinner-table talk between family members can be very different from a formal open speech by a high-ranking official. Personal letters between friends are usually different from research papers submitted to a professor.

In recent years, it is widely accepted that, instead of being dichotomous forms, the spoken mode and written mode may differ only in degree along some continuum (Bakhtin, 1986; Bhatia, 1993; Derewianka, 1996; Littlefair, 1991). Comparative studies between the two are usually done along one or several chosen dimensions. Halliday (1989), Stubbs (1986), and Ure (1971) all use lexical density as a measure to distinguish written from spoken messages. Kress (1994) puts more weight on structure, arguing that written language has more clausal complexity. Researchers may also use word choice (Halliday, 1989; Ljung, 1991; Vande-Kopple, 1995), readability (Botta, 1993; van Hout-Wolters & Schnotz, 1992), and message structure complexity (Laina, 1992; Smeltzer, 1992) to measure the differences. It can be seen that the difference between spoken and written forms of language lies on more than one dimension. The different findings in these studies can be attributed to the different genres of the texts being compared and the different dimensions chosen as the basis of comparison.

However, most of the earlier researchers analyze linguistic variation only from a single parameter, and many of them (Bernstein, 1970; Blankenship, 1974; DeVito, 1966; Ferguson, 1959; O'Donnell et al., 1967) tend to treat the linguistic variation in terms of dichotomous distinctions rather than continuous scales.

Some of the earlier researchers are even in disagreement with each other. Blankenship (1962, 1974) found that sentence length is nearly the same in speech and writing, while O'Donnell (1974) claimed that sentence length is considerably longer in writing. Besides, Kroll (1977) found that writing has more subordination than speech while Blass and Siegman (1975) found little differences on that. Viewing these disagreements, Ervin-Tripp (1972), Hymes (1974), Brown and Fraser (1979) give warnings that it is misleading if linguistic variation have been analyzed only with some specific, isolated linguistic markers without taking into account the sets of co-occurring features in

texts.

Seeing these disagreements, Biber (1985, 1986, 1989, 1995) argues that no any single dimension or function could adequately account for the linguistic differences among written and spoken modes, instead, a multi-feature/multi-dimension approach would be appropriate for this task.

Through an empirical study on the corpora LOB (Johansson et al., 1978; Johansson, 1982) and London-Lund (Svartvik & Quirk, 1980; Johansson, 1982), Biber examined the frequency counts of particular linguistic features (See Appendix 1) existed in texts across genres. He found that some features tended to occur strongly together across a range of texts. This gave the evidence that texts actually comprised several dimensions. For example, passives co-occurred with nominalizations in scientific texts with abstract and informational focus. First and second person pronouns co-occurred with contractions in face-to-face conversation underlying interactive situations. And past tense verbs co-occurred with third person personal pronouns in fiction being features in narrative focus. These patterns of feature co-occurrence appeared across different genres were the ones that defined the basic linguistic dimensions of English. Through the factor analysis, he established the multi-feature/multi-dimension approach from clusters of co-occurred feature patterns.

Unlike other researchers' studies based on the assumption of dichotomous distinction, Biber's approach takes the oral/written distinction as on a continuous scale of variation. Different types of texts in various styles, registers, genres are not the same or dichotomously different; rather they are "similar, or different", to differing extents with respect to each dimension (Biber, 1988; p.22). Based on the 67 linguistic features found in a corpus of 960,000 words and 23 written and spoken genres (Biber, 1988, p. 67), he finds a total of seven factors, which may serve as dimensions on which texts may be measured as being more speaking-like or writing-like. These include:

- Factor 1: informational versus involved production,
- Factor 2: narrative versus non-narrative concerns,
- Factor 3: explicit versus situation-dependent reference,
- Factor 4: overt expression of persuasion,
- Factor 5: abstract versus non-abstract information,
- Factor 6: on-line informational elaboration, and,
- Factor 7: academic hedging.

Each dimension is characterized by some of these 67 features.

In addition to the above, the constant development of new communication technologies has also made the distinction between spoken and written modes less obvious (Ferrara et al., 1991; Maynor, 1994). Radio broadcast and tape recording, for instance, have broken the barriers of space and time that are usually associated with the spoken mode of language. The fax machine has caused certain changes in the style of written messages. The development of a new medium, it seems then, inevitably results in some new styles of language use.

The coming into existence of Computer-mediated Communication (CMC) has brought a new medium to human interactions (Adkins & Brashers, 1995; Baron, 1984; Hardy et al., 1994). It is technically a writing behaviour as messages are entered by key-pressing and are transmitted through visual symbols. The electronic transmission of the messages, however, has made possible a variety of modes of message exchange. Users may be engaged in spontaneous on-line talk and/or conference, and asynchronous modes such as e-mail or electronic journal.

This new mode of communication is certainly unconventional and comprises the

features found both in the spoken and the written modes. Its existence has made the distinction between spoken and written forms even more vague (Close, 1994; Maynor, 1994; Murry, 1988; Warschauer, 1996).

As computer-mediated communication is certainly going to be more popular in the years to come, it can be anticipated that this new medium will result in greater impact on the language styles of future generations.

This research is intended to be a pilot study of a text analysis project to investigate the linguistic characteristics of CMC texts versus non-CMC texts. The methods, the procedures, and the findings of this study are presented in the next sections.

## 2. Methods of Text Analysis

### 2.1 Model of Analysis

The model of analysis in this study is mainly adopted from the series of studies by Biber (1986, 1988, 1989, 1995) and others (Biber & Hared, 1992; Biber et al., 1994). A selection of 67 linguistic features (See Appendix 1) are collected as reflecting spoken versus written features. Further statistical factor analysis of these 67 features has produced a total of seven factors.

The main project will try to measure the CMC and non-CMC texts on all these seven dimensions. In the present pilot study, however, the researcher intends to focus on the fifth factor, i.e. abstract versus non-abstract information.

Among the 67 language features examined by Biber, eight are found to relate to the factor of abstract versus non-abstract information. Table 2.1 is the list of their serial numbers and their loadings on this factor.

Table 2.1 Language Features Underlying the Factor of Abstract and Non-Abstract Information (Factor 5)

Serial No.	Features	Loadings
F45	conjuncts	.48
F17	agentless passives	.43
F26	past participle clauses	.42
F18	BY passives	.41
F27	past participle WHIZ deletions	.40
F38	other adverbial subordinators	.39
F41	predicative adjectives	.31
F43	type/token ratio	-.31

Following Biber's model, only the features with a loading larger than .35 in absolute value are considered valid in the computation of the factor score. Therefore, only the first six of the above eight features are searched and analyzed for this factor.

### 2.2 Objects of Study

While only Factor 5 is being studied in this pilot study out of a total of seven factors, the objects of the study are also limited. Data taken for analysis in the main project will be from several selected archives in Internet sources (CMC texts) as well as the traditional paper-format journals (non-CMC texts). In terms of the CMC texts, there will be synchronous texts (such as on-line conference logs from Neteach-L) and asynchronous



texts (such as postings on discussion lists like Neteach-L and TESL-L). It is hoped that a comparison can be made of the relative standings of these different types of CMC on each of the seven dimensions.

In the present pilot study, only the CMC asynchronous texts are collected as samples. They are postings from the discussion lists Neteach-L (Neteach-L, 1996) and TESL-L (TESL-L, 1996).

The theme of these archived texts mainly concerns issues relating to teaching English as a second or foreign language, and almost all the writers are TESL teachers, who are either native or non-native speakers teaching in primary, secondary, tertiary or adult levels in different countries

The selected archives are downloaded in electronic form from the related remote sites. To achieve a correct and precise analysis, all irrelevant lines in the files, like mail headers, are removed.

In short, while the main project will cover all the seven dimensions for comparison and use a variety of CMC and non-CMC texts as samples, the present study only deals with the fifth dimension, i.e. abstract versus non-abstract information; and only takes asynchronous CMC texts for the empirical analysis.

### 2.3 Tools for Data Preparation and Analysis

The sampled files from the above archives are processed using the computer programs: 1) the concordancing program CLAN, developed at Carnegie Mellon University (MacWhinney, 1995, 1996a, 1996b); 2) the part-of-speech tagging program TAGGER developed in University of Birmingham (1991); and 3) SPSS commercial quantitative program (SPSS, 1993).

#### 2.3.1 CLAN

CLAN (Child Language ANalysis) is a set of programs written by Leonid Spektor at Carnegie Mellon University with design assistance from Brian MacWhinney. These programs are designed to allow users to perform a large number of automatic analyzes of transcript data formatted according to the CHAT system of Child Language Data Exchange System. However, many of the programs can run on ASCII files of any type. They include programs for doing frequency counts, Boolean searches, keyword in context searches, cooccurrence analyzes, mean length utterance counts, interactional analyzes, text changes, and so on. The two programs used in the present study are COMBO (for keyword-in-context search) and FREQ (for frequency count).

The programs have been written in the C language and can be compiled for a variety of operating systems, including MS-DOS, UNIX, and MVS. The one used in this study is MS-DOS system.

#### 2.3.2 TAGGER

The program TAGGER is used to affix tags of the linguistic features to each word so that the features can be identified and counted by the CLAN program.

TAGGER is an automatic POS (Part-Of-Speech) program developed at University of Birmingham when John Sinclair edited the COBUILD Dictionary in 1988. It was originally used to facilitate the dictionary compilation, and was improved as a tagging program later on. Part-of-speech tagging is a linguistic procedure which attaches word-class information to the words in a text. This information is useful for further linguistic study, either for analyzing the syntactic structure of the texts' sentences or for statistical work such as counting the distribution of the different word classes in text corpora. A list

of part-of-speech tags is presented in Appendix 2.

TAGGER calculates the most probable word class in case of ambiguities (for example, a word can belong to several word classes like *light*, which can either be a noun, a verb or an adjective, depending on its actual use). Both the probability of the word belonging to a certain word-class and the probability of the word-class occurring at the specified position in the text are taken into account. Since it is probabilistic, there is no guaranteed correctness, but currently that is the limit of automatic tagging without human intervention. The correctness of this program is quite high, though it is not evaluated with any exactness as yet.

The program is now publicly accessible by means of an Experimental E-mail Tagging Service (TAGGER, 1996). The text can be sent to the TAGGER in University of Birmingham, and the output files would be automatically sent back. To avoid the difficulty of getting a long text tagged, it is advised that texts not exceed 50 KB in each file.

### 2.3.3 SPSS

SPSS is a commercial program dealing with numerical data for a statistical purpose. The program analyzes data in forms of Mean, Standard Deviation, Range, Sum, as well as Chi-square, T-test, Anova, Correlation etc. In this research, only Mean, Standard Deviation, Range, Minimum Value, Maximum Value are computed for the scores of Factor 5 abstract versus non-abstract.

### 2.3.4 Use of the CLAN and TAGGER

The researcher first develops notations of the language features to be searched for by the CLAN. For the six valid features relating to Factor 5, their notations are:

#### F17 Agentless Passives

@verb\_be^\*^(/VBN)^\*^!by

This notation represents the occurrence of a BE verb followed by a word tagged as "/VBN" (i.e. a past participle), which is NOT followed by the word "by." The occurrence of the ^\*^ means there may or may not be any number of words occurring at that position.

#### F18 BY Passives

@verb\_be^\*^(/VBN)^\*^by

This notation is similar to the one above with the exception that the word "by" must follow the past participle in the sentence.

#### F26 Past Participle Clauses

@sym.txt^(/VBN)

The @sym.txt is a file of several punctuation marks (.,?! ) that serve as delimiters. When a past participle occurs after one of these punctuations, it is counted as an occurrence of this feature.

This notation is supposed to locate sentences like:

*He sat there with a smiling face, satisfied with what he had earned.*

*He couldn't say a word, astonished at what he saw.*

Of course, manual proofreading and revising are necessary to distinguish this feature from other sentences like:

*The diamond was found, bought, and finally sent to the queen as a present.*

#### F27 Past Participle WHIZE Deletions

### /VBN

This notation simply tells the CLAN to look for any occurrence of words with the tag “/VBN.” However, here it is the intention of the researcher to locate texts like:

*The solution produced by the process ...*

*A situation resulted from this decision ...*

To distinguish texts with this feature with other texts simply containing a word with “/VBN” tagging, there seems no choice but to resort to manual editing.

### F38 Other Adverbial Subordinators

#### @ad\_sub

The @ad\_sub means a pre-edited file containing all words that are considered adverbial subordinators. These include: *since, while, whilst, whereupon, whereas, whereby*, etc.

### F45 Conjuncts

#### @sym.txt^(@conj)

The @conj is a file of all conjuncts, such as *alternatively, altogether, consequently, else, furthermore*, etc. This notation indicates the occurrence of such conjuncts after a delimiter.

For the CLAN to locate and count the occurrence of the specified features, the texts are usually first tagged with the symbols like /VBD and /VBN attached to all words. The researcher takes advantages of the automatic TAGGER service at University of Birmingham and has the CMC files tagged.

Although the jobs of tagging and concordancing are done by the computer programs, there is still the need for manual proofreading and correction. The TAGGER program still has limitations in its discrimination power and the notations designed with CLAN looking for the language features can not always elicit precisely the features needed. This is due to the clear limitations of the computer capability in linguistic analysis at the present stage.

## 2.4 Procedures of Analysis

Procedures of analysis cover several stages of data preparation, CLAN concordancer implementation, and results for statistical computation. They are illustrated in the following sections.

### 2.4.1 Data Preparation

Data preparation was divided into several steps:

First, the researcher ordered from TESL-L archives, by e-mail, five weeks' postings of the daily logs of November 1996. Neteach-L postings, however, were ordered for the 61 daily logs for October and November 1996 as their configuration system is different from TESL-L. It was then necessary to merge the five weeks' files, and the 61 days' files into two big corpora for later processing.

Next, the mail headers of each posting were removed as those were generated by the computer system and not written by the computer users. Each posting was then assigned serial numbers with the prefix of “no”, “nn” to stand for the October/November postings of Neteach-L, and “ln” for November ones of TESL-L. All words including citations, and signatures were kept for data analysis as they were all written or arranged by the posters. At this stage, the data were still in two large corpora.

Further checking was done to remove any possibly weird symbols that would affect the computing process or results. Some modification was done to avoid these problems.

The revised postings were then sent to TAGGER through the e-mail system for automatic tagging. After the tagged texts were sent back, individual postings were divided into separate files. All of them were saved under different directories. At the same time, the untagged source data were also separated into individual files. This procedure resulted in two sets (tagged and untagged) of files for Neteach-L postings, with 265 files in each set, and also two sets of files for TESL-L postings, with 357 files in each set.

Before the data could be searched for linguistic features, a special directory was necessary for working purposes. All related files were stored in this working directory, including both sets of files of Neteach-L and TESL-L postings, the files of group words which needed to be searched for specific linguistic features, as well as the COMBO and FREQ commands from CLAN. The former two kinds of files has to be in the ASCII format.

#### 2.4.2 Implementing the CLAN Concordancing

The COMBO and FREQ commands of CLAN were then used to locate and count the occurrence of features in the subject files by referring to the notations mentioned in 2.3.4.

While working in this way, it was necessary to leave enough memory in the computer for computing. Otherwise, the system might crash. Both COMBO and FREQ would report the stages of execution during the working process. Once it stopped unexpectedly, the search needed to be started again, or required some repair. Due to the limitations of the notations in fully representing the language features being analyzed, data generated from COMBO still needed to be carefully proofread for the precise entry discrimination.

Sometimes, it was necessary to refer back to the source data to make decisions as to whether the generated data were really the correct entries for specific features. An alternative way was to allow more context for this decision making. The "-w/+w" switch in the COMBO command could be used to attach more lines around the key pattern of specified features being searched. This "-w/+w" was a must while computing the tagged data as the tagging process added its tag-codes to the words and hence reduced the word numbers in a line.

#### 2.4.3 Results for Statistic Computation

After proofreading, the correct frequencies of each of the six linguistic features in every posting were depicted in two tables compatible with the SPSS package, one for Neteach-L, and the other for TESL-L. These two tables then served as input files for computing by the SPSS program and gradually became converted into the two tables of factor scores of these two CMC discussion lists. The statistical procedures are discussed in the next section.

### 3. Statistical Procedures

#### 3.1 Analysis of Frequencies and Scores on Individual Postings

##### 3.1.1 Frequencies of Features in Individual Postings

The procedure in Section 2.4 generated a table of frequencies of occurrence of each linguistic feature relating to the factor. That is to say, each individual posting had a number showing the number of times one of the six features occurred in that posting. For

instance, for postings in the TESL-L, the following table was generated:

Table 3.1 A Sample Data Sheet of Frequencies of Features  
in Individual Postings of TESL-L

TSL96N	TTLWDS	F17FREQ	F18FREQ	F26FREQ	F27FREQ	F38FREQ	F45FREQ
ln0001	204	0	0	0	0	0	0
ln0002	236	3	0	0	0	1	1
.							
ln0355	422	3	2	0	0	0	3
ln0356	183	0	0	0	0	0	1
ln0357	210	4	1	0	0	0	2

There are 357 postings adopted for analysis from TESL-L discussion list. The abridged table shows that Posting ln0001 has a total of 204 words, and none of the six features occurs in this posting; that Posting ln0002 has a total of 236 words and Feature 17 (agentless passives) occurs 3 times, Feature 38 (other adverbial subordinators) occurs once, and Feature 45 (conjuncts) occurs once in this posting; and so on.

### 3.1.2 Raw Feature Scores in Individual Postings

The frequencies found in Table 3.1 have to be converted into feature scores calculated on the same basis. As each posting is of different length, the frequency can not be used for any comparison unless the numbers are converted for calculation on the same basis. The "raw feature score" is an adjustment of the raw frequency to show the occurrence of a feature as if each posting were of the same length. The formula to be used for this conversion is:

$$\text{Raw Score} = \frac{\text{Frequencies of every feature in one posting}}{\text{Total words of this posting} * 1,000}$$

The raw feature scores received from this conversion are depicted in Table 3.2. The table shows that, among the 357 postings in TESL-L, the raw feature scores in ln0001 are all zero for the six features; that in ln0002, the raw scores are 12.71 for Feature 17 (agentless passives), 4.24 for Feature 38 (other adverbial subordinators), 4.24 for Feature 45 (conjuncts); and so on.

Table 3.2 A Sample Data Sheet of Raw Feature Scores  
in Individual Postings of TESL-L

TSL96N	TTLWDS	F17RAW	F18RAW	F26RAW	F27RAW	F38RAW	F45RAW
ln0001	204	.00	.00	.00	.00	.00	.00
ln0002	236	12.71	.00	.00	.00	4.24	4.24
.							
ln0355	422	7.11	4.74	.00	.00	.00	7.11
ln0356	183	.00	.00	.00	.00	.00	5.46
ln0357	210	19.05	4.76	.00	.00	.00	9.52

### 3.1.3 Standard Feature Scores in Individual Postings

As these raw feature scores for individual postings are calculated on the same basis of posting length, they can be justifiably compared with each other in this pool of data. However, it is the intention of the researcher to compare the features with a larger pool of data. Besides, the comparison would not be of too much significance if the standard deviations of the features scores were not considered.

As the data collected for this study are limited in scope, only 123,986 words in total, the researcher has decided to take the statistical data of a larger pool of texts as a comparison. The most convenient source of data would be the corpus Biber (1988, p. 67) gathers for his study, approximately 960,000 words. The statistic figures of the six linguistic features based on his corpus are listed in Appendix 3 and serve as the basis of calculating the standard feature scores in the present postings.

The standard feature scores are calculated using the formula:

$$\text{Feature Score} = (\text{Raw Scores} - M(\text{Biber})) / SD(\text{Biber})$$

*M (Biber): the mean score of a feature in Biber's corpus*

*SD (Biber): the standard deviation of a feature in Biber's corpus*

With this formula, the feature score of each posting in Neteach-L and TESL-L is calculated as is depicted in the Table 3.3.

### 3.1.4 Factor Score of Each Posting

As the six features are believed to belong to Factor 5 "Abstract vs Non-Abstract Information" and they are all considered positive (see Appendix 3) in their loading in this factor, the standard feature scores of all the six are now added up to become the factor score of Factor 5. A table of these factor scores of TESL-L postings would be something like in Table 3.4.

Table 3.3 A Sample Data Sheet of Standard Features Scores  
in Individual Postings of TESL-L

TSL96N	TTLWDS	F17FTURE	F18FTURE	F26FTURE	F27FTURE	F38FTURE	F45FTURE
ln0001	204	-1.45	-.62	-.25	-.81	-.91	-.75
ln0002	236	.47	-.62	-.25	3.29	2.94	1.90
.							
ln0355	422	-.38	3.03	-.25	1.49	-.91	3.69
ln0356	183	-1.45	-.62	-.25	-.81	-.91	2.67
ln0357	210	1.43	3.05	-.25	5.34	-.91	5.20

Table 3.4 A Sample Data Sheet of Factor-5 Scores  
of Each Posting in TESL-L

TSL96N	TTLWDS	FACTOR5
ln0001	204	-4.79
ln0002	236	7.73
ln0355	422	6.67
ln0356	183	-1.37
ln0357	210	13.86

### 3.1.5 Sum of Factor Scores of All the Postings in a Discussion List

Finally, the factor scores of Factor 5 of all the postings in Neteach-L and TESL-L are added up and examined against the counterparts in different genres in Biber's corpus.

### 3.2 Analysis of Abstract vs Non-Abstract Information

The figures gained from the tables in Section 3.1 above mostly relate to individual postings. To get a whole picture of the styles of the discussion lists, they are further computed to show the mean, the standard deviation, and other basic statistics of the features in the whole of each discussion list.

#### 3.2.1 Frequencies of Features Found in the Two Lists

Tables 3.5 and 3.6 show the statistical data relating to the frequency with which the six features occur in the two discussion lists.

Table 3.5 Frequencies of F17, F18, F26, F27, F38, & F45  
for Neteach-L Postings

Variable	Mean	Std Dev	Range	Min.	Max.	Sum
TTLWDS	205.46	180.47	1245.00	4	1249	54446.00
F17FREQ	1.17	1.83	9.00	0	9	311.00
F18FREQ	.13	.42	2.00	0	2	34.00
F26FREQ	.09	.36	2.00	0	2	23.00
F27FREQ	.24	.66	5.00	0	5	64.00
F38FREQ	.26	.61	3.00	0	3	68.00
F45FREQ	.38	.80	5.00	0	5	100.00

Table 3.6 Frequencies of F17, F18, F26, F27, F38, &amp; F45 for TESL-L Postings

Variable	Mean	Std Dev	Range	Min.	Max.	N = 357 Sum
TTLWDS	194.79	112.80	624.00	25	649	69540.00
F17FREQ	1.42	1.67	11.00	0	11	506.00
F18FREQ	.18	.55	5.00	0	5	65.00
F26FREQ	.01	.12	2.00	0	2	3.00
F27FREQ	.20	.57	4.00	0	4	71.00
F38FREQ	.25	.56	3.00	0	3	88.00
F45FREQ	.59	.88	5.00	0	5	212.00

## 3.2.2 Raw Feature Scores

Tables 3.7 and 3.8 show the statistic data of the raw feature scores generated from Table 3.2 and those of the Neteach-L as if the length of each posting is set as 1,000 words.

Table 3.7 Raw Feature Scores of F17, F18, F26, F27, F38, &amp; F45 for Neteach-L Postings

Variable	Mean	Std Dev	Range	Min.	Max.	N = 265 Sum
TTLWDS	205.46	180.47	1245.00	4	1249	54446.00
F17RAW	5.36	8.49	52.63	.00	52.63	1419.32
F18RAW	.66	3.01	25.00	.00	25.00	176.12
F26RAW	.30	1.61	15.75	.00	15.75	80.00
F27RAW	.92	2.58	16.13	.00	16.13	243.45
F38RAW	1.17	2.96	16.67	.00	16.67	308.86
F45RAW	1.67	3.43	20.10	.00	20.10	441.39

Table 3.8 Raw Feature Scores of F17, F18, F26, F27, F38, &amp; F45 for TESL-L Postings

Variable	Mean	Std Dev	Range	Min.	Max.	N = 357 Sum
TTLWDS	194.79	112.80	624.00	25	649	69540.00
F17RAW	7.21	8.16	45.05	.00	45.05	2575.41
F18RAW	.94	2.97	25.00	.00	25.00	336.41
F26RAW	.05	.81	14.81	.00	14.81	19.00
F27RAW	.87	2.74	27.78	.00	27.78	311.21
F38RAW	1.18	2.83	15.63	.00	15.63	422.56
F45RAW	2.91	4.41	25.86	.00	25.86	1039.21



## 3.2.3 Standard Feature Scores

Standard feature scores in Table 3.3 and those of the Neteach-L postings are then converted into Tables 3.9 and 3.10 to show the statistic data of the standard feature scores of the two discussion lists.

Table 3.9 Standard Feature Scores of F17, F18, F26, F27, F38, & F45 for Neteach-L Postings

Variable	Mean	Std Dev	Range	Min.	Max.	N = 265
						Sum
TTLWDS	205.46	180.47	1245.00	4	1249	54446.00
F17FTURE	-.64	1.29	7.97	-1.45	6.52	-170.41
F18FTURE	-.10	2.32	19.23	-.62	18.62	-27.60
F26FTURE	.50	4.03	39.37	-.25	39.12	133.75
F27FTURE	-.51	.83	5.20	-.81	4.40	-135.18
F38FTURE	.15	2.69	15.15	-.91	14.24	39.87
F45FTURE	.29	2.15	12.56	-.75	11.81	77.12

Table 3.10 Standard Feature Scores of F17, F18, F26, F27, F38, & F45 for TESL-L Postings

Variable	Mean	Std Dev	Range	Min.	Max.	N = 357
						Sum
TTLWDS	194.79	112.80	624.00	25	649	69540.00
F17FTURE	-.36	1.24	6.83	-1.45	5.37	-129.06
F18FTURE	.11	2.28	19.23	-.62	18.62	39.09
F26FTURE	-.12	2.04	37.04	-.25	36.79	-41.75
F27FTURE	1.52	2.63	14.53	-.81	13.72	542.87
F38FTURE	.17	2.57	14.20	-.91	13.30	59.60
F45FTURE	1.07	2.76	16.16	-.75	15.41	381.76

## 3.2.4 Factor Scores

All the standard feature scores of Factor 5 in Tables 3.9 and 3.10 are added up to be the factor score of Factor 5 for a discussion list, as shown in Tables 3.11 and 3.12.

Table 3.11 Factor Scores of F17, F18, F26, F27, F38, & F45 for Neteach-L Postings

Variable	Mean	Std Dev	Range	Min.	Max.	N = 265
						Sum
TTLWDS	205.46	180.47	1245.00	4	1249	54446.00
FACTOR5	-.31	5.94	39.37	-4.79	34.58	-82.44

Table 3.12 Factor Scores of F17, F18, F26, F27, F38, &amp; F45 for TESL-L Postings

Variable	Mean	Std Dev	Range	Min.	Max.	N = 357
						Sum
TTLWDS	194.79	112.80	624.00	25	649	69540.00
FACTOR5	2.39	6.38	43.77	-4.79	38.99	852.50

#### 4. Findings and Discussions

##### 4.1 Middle-point Standing of the Two Lists on This Dimension

The findings shown in Tables 3.11 and 3.12 are to be compared with the factor scores of different non-CMC genres in Biber's corpus (1988, pp. 181-184). Table 4.1 shows the means of the factor scores of all the genres (including the two CMC lists in this study) along the dimension of Factor 5.

It can be seen from Table 4.1 that, for Factor 5: the abstract vs. non-abstract information, both TESL-L and Neteach-L stand near the middle position between the two poles. The higher the value of the factor score, the more abstract and technical the style is.

The academic prose of all types is certainly the more formal and abstract in style and the broadcast and telephone conversation are more informal in style. The texts in the two CMC discussion lists are somewhat in between and are similar in the standings to most press reportage genres. This certainly is not a surprise to us.

An interesting phenomenon to notice is that the Standard deviation (SD) for the texts from these two CMC lists are remarkably higher than those in other genres. It may be that the large number of postings in these CMC lists (265 and 357 respectively) represents a greater variety of writing styles while Biber's corpora are composed of only six or at most 80 different texts for each genre (Biber, 1988, p. 67).

It may also be speculated that writers posting on the CMC discussion lists are more free to exhibit their individual styles and this causes such variety.

Another observation that can be made here is the slight difference between the standings

Table 4.1 A Comparison of the Standings on Factor 5 of Each of the Genres Used in Biber (1988, pp. 181-184) and the Two Discussion Lists in This Study

Genres	Mean	Std Dev	Range	Min.	Max.
Technology/engineering academic prose	9.70	4.00	12.80	2.70	15.50
natural science academic prose	8.80	4.50	13.80	3.00	16.80
mathematics academic prose	7.60	2.60	6.30	5.00	11.30
medical academic prose	7.30	3.90	9.20	2.30	11.50
politics/education academic prose	3.70	3.10	13.00	-2.40	10.60
social science academic prose	3.40	4.70	14.10	-1.40	12.60
humanities academic prose	2.80	4.10	16.90	-1.60	15.20

financial press reportage	2.70	3.10	7.00	-1.50	5.50
TESL-L	2.39	6.38	43.77	-4.79	38.99
spot news reportage	1.60	2.40	6.50	-1.40	5.00
political press reportage	0.60	1.70	4.50	-1.60	2.80
personal editorials	0.60	2.20	6.80	-2.20	4.50
letters to the editor	0.40	2.10	5.60	-2.40	3.20
sports press reportage	0.10	2.20	6.90	-3.30	3.60
institutional editorials	0.10	1.80	6.10	-2.20	3.90
Neteach-L	-0.31	5.94	39.37	-4.79	34.58
cultural press reportage	-0.60	2.90	9.10	-4.40	4.80
society press reportage	-0.90	1.10	2.00	-1.60	0.30
sports broadcasts	-1.50	3.60	10.00	-4.70	5.40
non-sports broadcasts	-2.00	1.20	3.50	-3.40	0.10
telephone conversations/ business associates	-3.10	1.10	2.80	-4.20	-1.40
telephone conversations/ personal friends	-3.80	1.40	4.90	-4.80	0.10
telephone conversations/ dispartes	-4.20	0.50	1.20	-4.70	-3.50

of the Factor-5 scores of TESL-L and Neteach-L. The score of TESL-L (2.39) is a little higher than that of Neteach-L (-0.31). It is hard to decide if the difference is really significant, and if it is, what might be the cause of the difference.

While both TESL-L and Neteach-L are of the CMC asynchronous type, they are two independent discussion lists and each has a certain group of members. The difference in their Factor-5 scores may be due to the composition of their member groups. It may also be due to the fact that, in TESL-L, there is a moderator and length limit for each posting (55 lines including all computer-generated mail headers) but there is no moderator controlling the content nor any length limit in Neteach-L.

It is hoped that the findings of this research will provide more concrete empirical evidence on the linguistic features of the new medium: Computer-mediated Communication. It is hoped to demonstrate that the new medium is characterized by some new linguistic features. It is also expected how these new features will differ from those of the more traditional printed mode of communication.

#### 4.2 Limitations of the Study and Directions for Further Work

As mentioned at the beginning of this paper, this study is intended to be the pilot study of a text analysis project on the difference between CMC and non-CMC texts in terms of the speech/writing variations.

While the main project intends to use both CMC (asynchronous and synchronous) and non-CMC (asynchronous) text files as objects of analysis and to analyze the difference along the seven dimensions as proposed by Biber (1988), the present study only collects data from CMC asynchronous texts. The scores of these texts are compared with those found in genres in a large non-CMC corpus (960,000 words). Also, analysis is made only

along the fifth dimension of difference, i.e. abstract vs. non-abstract information.

The major finding in this study, viz., that the two CMC asynchronous text corpora stand somewhat in the middle of the dimension between the more abstract and technical genres of academic proeses and the more concrete informal genres of broadcasts and conversations, is not surprising.

Despite the limitations of its scope, this study has established a feasible framework of research for the future main project and it is expected that more interesting findings can be obtained in the main project.

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## Appendix 1

The 67 linguistic features that may be related to the variation of speech/writing styles (Biber, 1988, pp. 77-78).

past tense	adv. subordinator - cause
perfect aspect verbs	adv. sub. - concession
present tense	adv. sub. -condition
place adverbials	adv. sub. - other
time adverbials	prepositions
first person pronouns	attributive adjectives
second person pronouns	predicative adjectives
third person pronoun	adverbs
pronoun IT	type/token ratio
demonstrative pronouns	word length
indefinite pronouns	conjuncts
DO as pro-verb	downtoners
WH questions	hedges
nominalizations	amplifiers
gerunds	emphatics
nouns	discourse particles
agentless passives	demonstratives
BY passives	possibility modals
BE as main verb	necessity modals
existential THERE	predictive modals
THAT verb complements	public verbs
THAT adj. complements	private verbs
WH clauses	suasive verbs
infinitives	SEEM/APPEAR
present participial clauses	contractions
past participial clauses	THAT deletion
past prt. WHIZ deletions	stranded prepositions
present prt. WHIZ deletions	split infinitives
THAT relatives: subj. position	split auxiliaries
THAT relatives: obj. position	phrasal coordination
WH relatives: subj. position	non-phrasal coordination
WH relatives: obj. position	synthetic negation
WH relatives: pied pipes	analytic negation
sentence relatives	



## Appendix 2

The list of tags used by TAGGER hosted in University of Birmingham:

??? -- no tag assigned	TO -- infinitive marker `to'
CC -- coordinating conjunction	UH -- interjection
CD -- cardinal number	VB -- verb, base form
DT -- determiner	VBD -- verb, past tense
EX -- existential `there'	VBG -- verb, gerund or present participle
FW -- foreign word	VBN -- verb, past participle
IN -- preposition	VBP -- verb, non-3rd person singular present
or subordinating conjunction	VBZ -- verb, 3rd person singular present
JJ -- adjective	WDT -- wh-determiner
JJR -- adjective, comparative	WP -- wh-pronoun
JJS -- adjective, superlative	WP\$ -- possessive wh-pronoun
LS -- list item marker	WRB -- wh-adverb
MD -- modal	" -- simple double quote
NN -- noun, singular or mass	\$ -- dollar sign
NNS -- noun, plural	# -- pound sign
NP -- proper noun, singular	` -- left single quote
NPS -- proper noun, plural	' -- right single quote
PDT -- predeterminer	`` -- left double quote
POS -- possessive ending	" -- right double quote
PP -- personal pronoun	( -- left parenthesis
PP\$ -- possessive pronoun	(round, square, curly or angle)
RB -- adverb	) -- right parenthesis
RBR -- adverb, comparative	(round, square, curly or angle)
RBS -- adverb, superlative	, -- comma
RP -- particle	. -- sentence-final punctuation
SYM -- symbol	: -- mid-sentence punctuation

## Appendix 3

The statistical Data of Six Features in Biber's Corpus  
(adopted from Biber, 1988, pp.77-78)

Linguistic Features	Mean	Std Dev	Range	Min.	Max.
Feature 17 agentless passives	9.60	6.60	38.00	0.00	38.00
Feature 18 BY passives	0.80	1.30	8.00	0.00	8.00
Feature 26 past participial clauses	0.10	0.40	3.00	0.00	3.00
Feature 27 past prt. WHIZ deletion	2.50	3.10	21.00	0.00	21.00
Feature 38 adv. subordinator - other	1.00	1.10	6.00	0.00	6.00
Feature 45 conjuncts	1.20	1.60	12.00	0.00	12.00